

ACCOUNT OPENING FORM

Corporate / Company / Firm

TITLE OF ACCOUNT			
ACCOUNT NUMBER		REG. NO	
CDC SUB A/C		CDC INVESTOR A/C	
NATURE OF ACCOUNT	<input type="radio"/> COMPANY <input type="radio"/> PARTNERSHIP <input type="radio"/> SOLE PROPRIETOR		

RISK DISCLOSURE

THIS PROVISION SHOULD BE READ CAREFULLY BY THE PROSPECTIVE ACCOUNT HOLDER BEFORE SIGNING THIS FORM AND SHOULD BE READ IN CONJUNCTION WITH THE RULES AND REGULATIONS OF THE PAKISTAN STOCK EXCHANGE (THE EXCHANGE).

This brief statement does not disclose all of the risks and other significant aspects of investing and/or trading in securities. In light of the risks, the Account Holder should undertake such transactions only if he/she understands the nature of the risks and exposures associated with such investment/trading and the extent of the exposure to risk. Risk of loss in trading in securities can be substantial. The Account Holder should carefully consider whether trading is appropriate for him/her in light of his/her experience, objective, financial resources and other relevant circumstances. Trading thus requires not only the necessary financial resources but also the financial and emotional temperament. In case of any consequence or loss in trading, Account Holder shall be solely responsible for such loss and neither the Broker nor the counterpart or the Exchange shall be responsible for the same and it will not be open for the Account Holder to take the plea that no adequate disclosure was made or that he/she was not explained the full risk involved by the Broker. The Account Holder will be solely responsible for the consequences and no contract can be rescinded on that account.

In particular, online trading is exposed to cyber frauds and hacking of lines, server downtime, outages, etc. and all such risk shall be deemed to be assumed by the Account Holder.

By signing this Form, the Account Holder shall be deemed to be aware of all risks and exposures and shall be deemed to have absolved and released the Broker from all consequences and liabilities arising therefrom.

WITNESSES

I bear witness that this document has been signed in my presence.

NAME		NAME	
CNIC NUMBER		CNIC NUMBER	
DATE		DATE	

SIGNATURE _____

SIGNATURE _____

ACCOUNT OPENING INSTRUCTIONS

- | | |
|--------|--|
| NOTE 1 | PLEASE READ CAREFULLY THE TERMS AND CONDITIONS APPEARING UNDER FORMS BEFORE FILLING IN THE APPLICABLE BLANKS/BOXES AND SIGNING THE FORMS. |
| NOTE 2 | EACH AND EVERY COLUMN MUST BE FILLED IN BLOCK LETTERS. |
| NOTE 3 | MARK 'VOID' ALL UNNECESSARY SPACES. |
| NOTE 4 | EACH PAGE OF THIS FORM WILL BE DULY SIGNED BY THE ACCOUNT HOLDER(S) AND THE BROKER. |
| NOTE 5 | AFTER RECEIVING THE ACCOUNT OPENING FORM, CHEQUE AND ALL RELEVANT DOCUMENTS, ACCOUNT OPENING PROCESS WILL BE INITIATED. CONFIRMATION OF ACCOUNT OPENING WILL BE PROVIDED WITHIN 5 WORKING DAYS (ASSUMING ALL DOCUMENTATION IS IN ORDER). |
| NOTE 6 | ATTESTATIONS MAY BE DONE BY NOTARY PUBLIC/GAZATTED OFFICER. |
| NOTE 7 | BROKER REFERS TO TREC HOLDER 025 OF THE PAKISTAN STOCK EXCHANGE LIMITED. |
| NOTE 8 | PLEASE TICK (v) THE RELEVANT BOXES. |

COMPANIES AND FIRMS

ACCOUNT TITLE

CONTACT PERSON

MAILING ADDRESS
(To be used for correspondence)

PHONE

FAX

EMAIL

REGISTERED ADDRESS

REGISTRATION NUMBER

RESIDENTIAL STATUS ☐ RESIDENT ☐ NON-RESIDENT

OPERATING MANDATE

THE ACCOUNT HOLDER(S) AUTHORIZE THE FOLLOWING PERSON(S) TO OPERATE THE ACCOUNT.
A LETTER OF AUTHORIZATION IS REQUIRED TO BE COMPLETED BY THE ACCOUNT HOLDER(S).

NAME

NICOP / CNIC NUMBER

SPECIMEN SIGNATURE

☐ SINGLE ☐ JOINT

NAME

NICOP / CNIC NUMBER

SPECIMEN SIGNATURE

☐ SINGLE ☐ JOINT

BOARD RESOLUTION

BOARD RESOLUTION DATED

IS ATTACHED AS ANNEXURE 'A' (DRAFT COPY ENCLOSED).

DECLARATION OF SOLVENCY

The Account Holder hereby declares that:

- 1) It has not applied to be adjudicated as an insolvent and that it has not suspended payment and that it has not compounded with their creditors;
- 2) It is not un-discharged insolvent; and
- 3) Has not been declared defaulter in repayment of loan of a bank/financial institutions.

MARGIN DEPOSIT

The Account Holder(s) hereby undertakes to deposit and maintain _____ % margin against his/her/their outstanding trades/exposure for the purpose of trading in his/her/their account. The broker shall notify the Account Holder(s) about any change in the above margin requirements for the already executed trades at least 3 days prior to the implementation of requirements for the already executed trades.

DOCUMENTS REQUIRED FROM CORPORATE ENTITIES

- 1) Certified true copy of Board Resolution (Specimen provided as per Annexure A).
- 2) Certified true copies of Memorandum & Articles of Association.
- 3) List of Authorized Signatories.
- 4) Certified Partnership Deed.
- 5) Certified Sole Proprietor Declaration.
- 6) Copy of NTN Certificate, if applicable.

KNOW YOUR CUSTOMER DETAILS (KYC)

NAME OF BANK	<input type="text"/>
NAME / TITLE OF ACCOUNT	<input type="text"/>
BANK ACCOUNT NUMBER	<input type="text"/>
BANK ADDRESS	<input type="text"/>
	<input type="text"/>

BANK VERIFICATION

PARTICULARS OF BANK MANAGER / AUTHORIZED OFFICER

CONTACT PERSON(S)	<input type="text"/>
CONTACT NUMBERS	<input type="text"/>
EMAIL ADDRESS	<input type="text"/>
SIGNATURE & RUBBER STAMP	<hr/>