

## ACCOUNT OPENING FORM INDIVIDUAL

TITLE OF ACCOUNT \_\_\_\_\_

ACCOUNT NUMBER \_\_\_\_\_

UIN / CNIC \_\_\_\_\_

CDC SUB A/C NUMBER \_\_\_\_\_

BANK A/C NUMBER \_\_\_\_\_

NATURE OF ACCOUNT

☐ SINGLE

☐ JOINT

☐ COMPANY

☐ FIRM

### RISK DISCLOSURE

THIS PROVISION SHOULD BE READ CAREFULLY BY THE PROSPECTIVE ACCOUNT HOLDER BEFORE SIGNING THIS FORM AND SHOULD BE READ IN CONJUNCTION WITH THE RULES AND REGULATIONS OF THE PAKISTAN STOCK EXCHANGE.

This brief statement does not disclose all of the risks and other significant aspects of investing and/or trading in shares and securities. In light of the risks, the Account Holder should undertake such transactions only if he/she understands the nature of the risks and exposures associated with such investment/trading and the extent of their exposure to risk. Risk of loss in trading in shares and securities can be substantial. Account Holder should carefully consider whether trading is appropriate for him/her in light of his/her experience, objective, financial resources and other relevant circumstances. Trading requires not only the necessary financial resources but also the financial and emotional temperament. In case of any consequences or loss in trading, Account Holder shall be solely responsible for such loss and neither the Broker, the counter party nor the Exchange shall be responsible for the same and it will not be open for the Account Holder to take plea that no adequate disclosure was made or that he/she was not explained the full risk involved by the Broker. The Account Holder will be solely responsible for the consequences and no contract can be rescinded on that account. In particular, online trading is exposed to cyber frauds and hacking of lines, server down time, outages, etc. and all such risk shall be deemed to be assumed by the Account Holder.

By signing this Form, the Account Holder shall be deemed to be aware of all risks and exposures and shall be deemed to have absolved and released the Broker from all consequences and liabilities arising there from.

### CLIENT DECLARATIONS

#### DECLARATION OF SOLVENCY

The Account Holder hereby declares that:

- A) It has not applied to be adjudicated as an insolvent and that it has not suspended payment and that it has not compounded with their creditors;
- B) It is not un-discharged insolvent; and
- C) It has not been declared defaulter in repayment of loan of a bank/financial institutions.

#### MARGIN DEPOSIT

Account Holder(s) hereby undertakes to deposit and maintain 100% margin against their outstanding trades/exposures for the purpose of trading in their account. Broker shall notify the Account Holder(s) about any change in the above margin requirements for the already executed trades at least 3 days prior to the implementation of the revised margin requirements.

#### OPERATING AUTHORIZATION

Account Holder and the Joint Account Holder can both operate the account and instructions received from either will be acceptable and binding on both.

Account Holder(s) can nominate a 3rd party to operate the Account and such authorization will be acceptable if given on the prescribed format on a stamp paper (format enclosed).

#### POLITICALLY EXPOSED PERSONS

For Account Holder

Holding Public Office? ☐ YES ☐ NO

Any Political Affiliation? ☐ YES ☐ NO

For Joint Account Holder

Holding Public Office? ☐ YES ☐ NO

Any Political Affiliation? ☐ YES ☐ NO

\_\_\_\_\_  
ACCOUNT HOLDER

\_\_\_\_\_  
JOINT ACCOUNT 1 HOLDER

\_\_\_\_\_  
JOINT ACCOUNT 2 HOLDER

\_\_\_\_\_  
BROKER

| ACCOUNT HOLDER INFORMATION  |   |                        |   |
|---|---|------------------------|---|
| NAME  |   |                        |   |
| SPOUSE'S NAME   |   |                        |   |
| FATHER'S NAME   |   |                        |   |
| MOTHER'S NAME   |   |                        |   |
| DATE OF BIRTH   |   | GENDER                 |   |
| EDUCATION   |   | MARITAL STATUS         |   |
| NATIONALITY   |   | RESIDENTIAL STATUS     |   |
| NICOP/CNIC/PASSPORT NUMBER  |   | EXPIRY DATE            |   |
| MAILING ADDRESS   |   |                        |   |
| PERMANENT ADDRESS   |   |                        |   |
| MOBILE  |   | LANDLINE               |   |
| EMAIL   |   |                        |   |
| OCCUPATION  | <input type="radio"/> SALARIED <input type="radio"/> BUSINESS <input type="radio"/> STUDENT <input type="radio"/> RETIRED <input type="radio"/> OTHER _____ |                        |   |
| COMPANY NAME  |   |                        |   |
| COMPANY ADDRESS   |   |                        |   |
| TITLE / DESIGNATION   |   | ANNUAL INCOME          |   |
| BANK NAME   |   | NATIONAL TAX NUMBER    |   |
| BANK ACCOUNT NUMBER   |   | BANK ACCOUNT TITLE     |   |
| BANK ADDRESS  |   |                        |   |
| RESIDENCE   | <input type="radio"/> OWNED <input type="radio"/> RENTED <input type="radio"/> FAMILY   | SOURCE OF FUNDS        | <input type="radio"/> SAVINGS <input type="radio"/> BORROWED <input type="radio"/> BOTH |
| ZAKAT DEDUCTIBLE  | <input type="radio"/> YES <input type="radio"/> NO  | DIVIDEND MANDATE       | <input type="radio"/> YES <input type="radio"/> NO                                      |
| JOINT ACCOUNT HOLDER 1 INFORMATION  |   |                        |   |
| NAME  |   |                        |   |
| SPOUSE'S NAME   |   |                        |   |
| FATHER'S NAME   |   |                        |   |
| MOTHER'S NAME   |   |                        |   |
| DATE OF BIRTH   |   | GENDER                 |   |
| EDUCATION   |   | MARITAL STATUS         |   |
| NATIONALITY   |   | RESIDENTIAL STATUS     |   |
| NICOP/CNIC/PASSPORT NUMBER  |   | EXPIRY DATE            |   |
| MAILING ADDRESS   |   |                        |   |
| PERMANENT ADDRESS   |   |                        |   |
| MOBILE  |   | LANDLINE               |   |
| EMAIL   |   |                        |   |
| OCCUPATION  | <input type="radio"/> SALARIED <input type="radio"/> BUSINESS <input type="radio"/> STUDENT <input type="radio"/> RETIRED <input type="radio"/> OTHER _____ |                        |   |
| COMPANY NAME  |   |                        |   |
| COMPANY ADDRESS   |   |                        |   |
| TITLE / DESIGNATION   |   |                        |   |
| ANNUAL INCOME   |   |                        |   |
| NATIONAL TAX NUMBER   |   |                        |   |
| BANK NAME   |   |                        |   |
| BANK ACCOUNT NUMBER   |   |                        |   |
| BANK ACCOUNT TITLE  |   |                        |   |
| BANK ADDRESS  |   |                        |   |
| RESIDENCE   | <input type="radio"/> OWNED <input type="radio"/> RENTED <input type="radio"/> FAMILY   | SOURCE OF FUNDS        | <input type="radio"/> SAVINGS <input type="radio"/> BORROWED <input type="radio"/> BOTH |
| ZAKAT DEDUCTIBLE  | <input type="radio"/> YES <input type="radio"/> NO  | DIVIDEND MANDATE       | <input type="radio"/> YES <input type="radio"/> NO                                      |
|   |   |                        |   |
| ACCOUNT HOLDER  | JOINT ACCOUNT 1 HOLDER  | JOINT ACCOUNT 2 HOLDER | BROKER  |
| VECTOR SECURITIES (PVT.) LIMITED - 3rd Floor, 1C, Street 7A, Badar Comm. Area, DHA, Phase V Extension, Karachi, Pakistan. |   |                        |   |

| JOINT ACCOUNT HOLDER 2 INFORMATION  |   |                        |   |
|---|---|------------------------|---|
| NAME  |   |                        |   |
| SPOUSE'S NAME   |   |                        |   |
| FATHER'S NAME   |   |                        |   |
| MOTHER'S NAME   |   |                        |   |
| DATE OF BIRTH   |   | GENDER                 |   |
| EDUCATION   |   | MARITAL STATUS         |   |
| NATIONALITY   |   | RESIDENTIAL STATUS     |   |
| NICOP/CNIC/PASSPORT NUMBER  |   | EXPIRY DATE            |   |
| MAILING ADDRESS   |   |                        |   |
| PERMANENT ADDRESS   |   |                        |   |
| MOBILE  |   | LANDLINE               |   |
| EMAIL   |   |                        |   |
| OCCUPATION  | <input type="radio"/> SALARIED <input type="radio"/> BUSINESS <input type="radio"/> STUDENT <input type="radio"/> RETIRED <input type="radio"/> OTHER _____ |                        |   |
| COMPANY NAME  |   |                        |   |
| COMPANY ADDRESS   |   |                        |   |
| TITLE / DESIGNATION   |   | ANNUAL INCOME          |   |
| BANK NAME   |   | NATIONAL TAX NUMBER    |   |
| BANK ACCOUNT NUMBER   |   | BANK ACCOUNT TITLE     |   |
| BANK ADDRESS  |   |                        |   |
| RESIDENCE   | <input type="radio"/> OWNED <input type="radio"/> RENTED <input type="radio"/> FAMILY   | SOURCE OF FUNDS        | <input type="radio"/> <input type="radio"/> <input type="radio"/> |
| ZAKAT DEDUCTIBLE  | <input type="radio"/> YES <input type="radio"/> NO  | DIVIDEND MANDATE       | <input type="radio"/> YES <input type="radio"/> NO                |
| NOMINEE INFORMATION   |   |                        |   |
| NAME  |   |                        |   |
| RELATIONSHIP  |   |                        |   |
| NICOP/CNIC/PASSPORT NUMBER  |   | EXPIRY                 |   |
| DATE OF BIRTH   |   | GENDER                 |   |
| MAILING ADDRESS   |   |                        |   |
| MOBILE  |   | LANDLINE               |   |
| EMAIL   |   |                        |   |
|   |   |                        |   |
| ACCOUNT HOLDER  | JOINT ACCOUNT 1 HOLDER  | JOINT ACCOUNT 2 HOLDER | BROKER  |
| VECTOR SECURITIES (PVT.) LIMITED - 3rd Floor, 1C, Street 7A, Badar Comm. Area, DHA, Phase V Extension, Karachi, Pakistan. |   |                        |   |